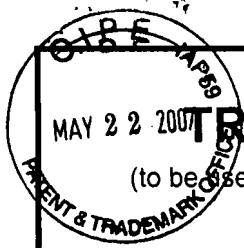


IAP6 Rec'd PCT/PTO 22 MAY 2007 PCT



TRANSMITTAL FORM

(to be used on all correspondence after initial filing)

ATTORNEY DOCKET NO.

70078.0018USWO

U.S. APPLICATION SERIAL NO.

10/576,767

CONFIRMATION NO.

8291

FILING DATE

April 21, 2006

INVENTOR(S)

Bernard PUCCI et al.

EXAMINER

Not Assigned

GROUP ART UNIT

8291

TITLE OF APPLICATION

NOVEL SURFACTANTS AND APPLICATIONS THEREOF

ADDRESS TO:

Mailstop PCT
Commissioner for Patents
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

ENCLOSURES

- ☒ Transmittal Form
☒ Fee Transmittal (In Duplicate)
☒ Response to Notification to File Missing Requirements
☒ Combined Declaration and Power of Attorney (2 Pages)
☒ List of Practitioners To Be Recognized By The Office As Being Of Record
☒ Notification of Missing Requirements (Return Copy)
☒ Return Postcard

☒ Please charge Deposit Account No. 13-2725 in the amount of \$130.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR §1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR §1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

CORRESPONDENCE ADDRESS

☒ The address associated with Customer Number: **23552** OR ☐ the correspondence address below.

Name

Address

City

State

Zip Code

NAME

REGISTRATION NO.

SIGNATURE

DATE

May 22, 2007

TELEPHONE

202 326-0300

NAME

REGISTRATION NO.



FEE TRANSMITTAL

ATTORNEY DOCKET NO.

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U.S. APPLICATION SERIAL NO.

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EXAMINER

Not Assigned

GROUP ART UNIT

N/A

TITLE OF APPLICATION

NOVEL SURFACTANTS AND APPLICATIONS THEREOFApplicant claims small entity status. See 37 CFR §1.27.
Certain fees are reduced by 1/2.

TOTAL AMOUNT OF PAYMENT

\$130.00

☒ Please charge Deposit Account No. 13-2725 in the amount of **\$130.00** to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the documents noted below, including any fees required under 37 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR §1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed for fee processing.

THE FOLLOWING FEES HAVE BEEN SUBMITTED:

APPLICATION FEES

| | FEE CODE | DESCRIPTION | FEE | CALCULATE |
|-------------------------------------|----------|--|----------|-----------------|
| <input type="checkbox"/> | 1014 | Basic Filing Fee - Reissue | \$300.00 | |
| <input checked="" type="checkbox"/> | 1051 | Surcharge - Late Filing Fee, Search Fee, Examination Fee or Oath or Dec. | \$130.00 | \$130.00 |
| <input type="checkbox"/> | 1801 | Request for Continued Examination | \$790.00 | |
| <input type="checkbox"/> | 1814 | Terminal Disclaimer | \$130.00 | |
| SUB TOTAL | | | | \$130.00 |

EXTENSION OF TIME FEES

| | FEE CODE | DESCRIPTION | FEE | SUBMITTED |
|---------------------------------------|----------|--|------------|-----------|
| <input type="checkbox"/> | 1251 | Extension for Response Within the First Month | \$120.00 | |
| <input type="checkbox"/> | 1252 | Extension for Response Within the Second Month | \$450.00 | |
| <input type="checkbox"/> | 1253 | Extension for Response Within the Third Month | \$1,020.00 | |
| <input type="checkbox"/> | 1254 | Extension for Response Within the Fourth Month | \$1,590.00 | |
| <input type="checkbox"/> | 1255 | Extension for Response Within the Fifth Month | \$2,160.00 | |
| Credit for Extensions Previously Paid | | | | |
| SUB TOTAL | | | | 0 |

APPLICATION SIZE FEES

| | | | | |
|---|--------------|--|--------------|---------------|
| <input type="checkbox"/> Additional Fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets or fraction thereof. | | | | \$0.00 |
| Total Sheets | Extra Sheets | Number of each additional 50 sheets or fraction thereof (round-up) | RATE | |
| 0-100 | /50= | | x \$250.00 = | |

CLAIM FEES

| CLAIMS | NO. FILED | NO. PAID FOR | MAX. PAID | NO. ADD'L | RATE | | |
|--|-----------|-------------------------------------|-----------|-----------|---------|--------|---------------|
| Total Claims | 0 | <input checked="" type="checkbox"/> | 20 | | x \$50 | \$0.00 | \$0.00 |
| Independent Claims | 0 | <input checked="" type="checkbox"/> | 3 | | x \$200 | \$0.00 | |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S) | | | | | + \$360 | \$0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | | |

APPEALS/INTERFERENCE FEES

| | FEE CODE | DESCRIPTION | FEE | SUBMITTED |
|--------------------------|----------|--|------------|---------------|
| <input type="checkbox"/> | 1401 | Notice of Appeal | \$500.00 | |
| <input type="checkbox"/> | 1402 | Filing a Brief in Support of an Appeal | \$500.00 | |
| <input type="checkbox"/> | 1403 | Request for oral Hearing | \$1,000.00 | |
| SUB TOTAL | | | | \$0.00 |

TOTAL OF FEES SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS

\$130.00☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.

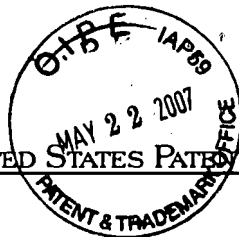
x 1.00=

\$130.00

MISCELLANEOUS FEES (NOT SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS)

| | FEE CODE | DESCRIPTION | FEE | SUBMITTED |
|--------------------------|----------|---|------------------------|---------------|
| <input type="checkbox"/> | 1053 | Non-English Specification | \$130.00 | |
| <input type="checkbox"/> | 1806 | Submission of an Information Disclosure Statement | \$180.00 | |
| <input type="checkbox"/> | 8021 | Recording Assignment, Agreement or Other Paper | 0 properties x \$40.00 | |
| SUB TOTAL | | | | \$0.00 |

| | | | | | |
|-----------|---------------------|------------------|--------------|-----------|--------------|
| SIGNATURE | | DATE | May 22, 2007 | TELEPHONE | 202 326-0300 |
| NAME | William E. Beaumont | REGISTRATION NO. | 30,996 | | |



UNITED STATES PATENT AND TRADEMARK OFFICE

70078.18USWO ✓
 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/576,767 | Bernard Pucci | 1169043 |

| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/FR04/02667 | |
| LA. FILING DATE | PRIORITY DATE |
| 10/19/2004 | 10/23/2003 |

23552
 MERCHANT & GOULD PC
 P.O. BOX 2903
 MINNEAPOLIS, MN 55402-0903

Miss Reg 2MA: May 22, 2007

Miss Reg 3/PTA: June 22, 2007

Miss Reg STAT: September 22, 2007

Date Mailed: 03/22/2007

WEB

CONFIRMATION NO. 8291

371 FORMALITIES LETTER



OC000000022973978

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/21/2006
- English Translation of the IA filed on 04/21/2006
- Copy of the International Search Report filed on 04/21/2006
- Copy of Article 19 Amendments filed on 04/21/2006
- English Translation of Article 19 Amendments filed on 04/21/2006
- Preliminary Amendments filed on 04/21/2006
- Information Disclosure Statements filed on 04/21/2006
- U.S. Basic National Fees filed on 04/21/2006
- Priority Documents filed on 04/21/2006
- Specification filed on 04/21/2006
- Claims filed on 04/21/2006
- Abstracts filed on 04/21/2006
- Drawings filed on 04/21/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---|--|---|
| RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 | | ATTORNEY DOCKET NO. 70078.0018USWO |
| | | U.S. APPLICATION SERIAL NO. (If known, see 37 C.F.R. §1.5) 10/576,767 |
| INTERNATIONAL APPLICATION NO. PCT/FR04/02667 | INTERNATIONAL FILING DATE October 19, 2004 | PRIORITY DATE CLAIMED October 23, 2003 |
| TITLE OF APPLICATION NOVEL SURFACTANTS AND APPLICATIONS THEREOF | | |
| APPLICANT(S) FOR DO/EO/US Bernard PUCCI et al. | | |

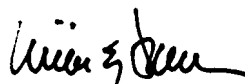
MAIL STOP: PCT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Dear Sir:

Responsive to the notification dated March 22, 2007, and in compliance with the provisions of 37 C.F.R. 1.497(a) and (b), Applicants submit herewith an executed Declaration in connection with the above-referenced patent application. The Declaration identifies the instant application by its title "NOVEL SURFACTANTS AND APPLICATIONS THEREOF," which title was on the specification of the application as filed under 35 U.S.C. §371 on October 19, 2004. The required fee is submitted herewith.

In view of the foregoing, this application is deemed to be in proper condition for examination on the merits and such favorable action is earnestly solicited.

Respectfully submitted,
MERCHANT & GOULD, P.C.



William E. Beaumont
Registration No. 30,996

May 22, 2007

Date

P.O. Box 2903
Minneapolis, Minnesota 55402-0903
Telephone No. (202) 326-0300
Facsimile No. (202) 326-0778

23552

Patent & Trademark Office

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--|--|--|-----------------------------------|
| LIST OF PRACTITIONERS TO BE RECOGNIZED BY THE OFFICE AS BEING OF RECORD | | ATTORNEY DOCKET NO. 70078.0018USWO | |
| | | U.S. APPLICATION SERIAL NO. 10/576,767 | CONFIRMATION NO. 8291 |
| | | FILING DATE April 21, 2007 | |
| INVENTOR(S) Bernard PUCCI et al. | | EXAMINER (If known) Not Assigned | ART UNIT (If known) N/A |
| TITLE OF APPLICATION NOVEL SURFACTANTS AND APPLICATIONS THEREOF | | | |

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COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Please recognize the following practitioner(s) as being of record in the above-captioned patent application:

1. William E. Beaumont


Registration No. 30,996.

May 22, 2007

Date

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Minneapolis, Minnesota 55402-0903
Telephone No. (202) 326-0300
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Respectfully submitted,
MERCHANT & GOULD, P.C.



William E. Beaumont
Registration No. 30,996

23552

Patent & Trademark Office